

IPR

Docket No. 252311US2S/ims

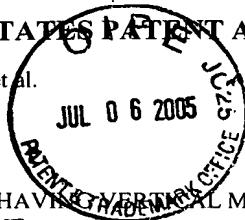
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Syotaro ONO, et al.

SERIAL NO: 10/829,173

FILED: April 22, 2004

FOR: SEMICONDUCTOR DEVICE HAVING A VERTICAL MOS GATE STRUCTURE AND METHOD OF
MANUFACTURING THE SAME



GAU: 2826

EXAMINER: T. L. DICKEY

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references cited in the attached Japanese Office Action and listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 252311US2S		SERIAL NO. 10/829,173	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Syotaro ONO, et al.			
				FILING DATE April 22, 2004		GROUP 2826	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
AA							
AB							
AC							
AD							
AE							
AF							
AG							
AH							
AI							
AJ							
AK							
AL							
AM							
AN							
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION		
	AO	2002-26324	01/25/2002	JAPAN (with English translation)	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO	
	AP	2000-269487	09/29/2000	JAPAN	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
	AQ	2001-119023	04/27/2001	JAPAN	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO	
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	AT				<input type="checkbox"/> YES	<input type="checkbox"/> NO	
	AU				<input type="checkbox"/> YES	<input type="checkbox"/> NO	
	AV				<input type="checkbox"/> YES	<input type="checkbox"/> NO	
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
<small>*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							